

# E-TRADING PLATFORM & ACCESS APPLICATION

Please complete the application form and kindly submit it to our Client Service Team at [cs@gtjaf.com.sg](mailto:cs@gtjaf.com.sg). If you have any enquiries, please contact our Client Service Team.

Name of Client: \_\_\_\_\_ Account No.: \_\_\_\_\_

Email Address: \_\_\_\_\_

*Leave it blank*

## Select Trading Platform & Products

Please select only 1 platform		Futures	Options	Remarks
CQG	<input type="checkbox"/> Desktop & Mobile <sup>1</sup> <input type="checkbox"/> Others:	2FA <sup>2</sup> <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Esunny	<input type="checkbox"/> Desktop & Mobile <sup>1</sup> <input type="checkbox"/> Others:	2FA <sup>2</sup> <input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

<sup>1</sup> Mobile App function is available for this trading platform, which can be downloaded from either Apple App Store or Google Play Store.

Note: Subscription Fees may apply and are subjected to changes by the Company from time to time, without prior notice. Kindly contact our Client Service Desk for more information. The Company reserves the rights to impose charges on any complimentary services. Any fees payable by the Client shall be deducted from its trading account. Upon any unsuccessful deduction in the trading account, the Client understands and acknowledges that the Company may exercise its discretion, to terminate and/or suspend the provision of any services to the Client.

<sup>2</sup> In line with the Monetary Authority of Singapore's ("MAS") Technology Risk Management Guidelines, we will be implementing two-factor authentication ("2FA") login for all client trading accounts. This would mean that you will be required to log in to your trading platform(s) with your usual password as well as an one-time password ("OTP") which would be sent to your registered mobile number.

## Exchange(s) Selection

<b>Asia Pacific &amp; Middle East</b>	<b>HKEX</b>	<b>SGX</b>	<b>Others (Please specify):</b> _____			
Futures / Options	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
<b>USA</b>	<b>CBOT</b>	<b>CME</b>	<b>COMEX</b>	<b>NYMEX</b>	<b>ICE U.S.</b>	<b>Others (Please specify):</b> _____
Futures / Options	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Europe</b>	<b>Ice Europe (Commodities)</b>	<b>Ice Europe (Financial)</b>	<b>LME</b>	<b>Others (Please specify):</b> _____		
Futures / Options	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

Note: Subscription Fees may apply to Market Data access to certain Exchange(s) and subjected to changes.

## Declaration and Signature

By completing, signing, and submitting this form to Guotai Junan Futures (Singapore) (the "Company"), the Client and/or its representative(s), declare, warrant, and confirm that:

- The information and documentation provided to the Company, are true, accurate and complete. In relation to this application, I/We read, understood and accept the contents highlighted in this form and further undertake to provide any further supporting documentations / information (whenever required);
- I/We are applying for the selected trading platform with the Company;
- I/We understand that the Company retains the absolute discretion in determining, reviewing, assessing and approving this application;
- I/We authorised the Company to communicate any marketing material or resource(s) pertaining and relating to Market Data and/or the provision of trading services;
- I/We understand and acknowledge that the Trading Agreement and other applicable terms and conditions will apply to the access and use of the selected trading platform, the related services and relevant Fees involved; and
- The Company reserves the right to amend or terminate the provision of such service and/or Fees from time to time

Name	Date
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## For Official Use Only

<input type="checkbox"/> New Application	<input type="checkbox"/> Switch Platform	<input type="checkbox"/> Add Exchange(s)	<input type="checkbox"/> Reinstatement
<b>Sales Department</b>		<b>IT Department</b>	<b>Remarks</b>

Verified by Name/Signature/Date

Received by Name/Signature/Date

## UNDERTAKING AND FORM OF ACKNOWLEDGEMENT OF RISKS ASSOCIATED WITH SINGLE-FACTOR AUTHENTICATION FOR TRADING ACCOUNTS

I/We acknowledge:

- (a) that the two-factor authentication ("2FA") requirement has been implemented to enhance the overall security of the online trading platforms;
- (b) there are security risks and uncertainties associated of electing to use only single-factor authentication ("SFA"), which may include but not limited to, password-based attacks and exposure to malware; and
- (c) While the use of 2FA does not fully preclude all security risks, the use of only SFA does increase the exposure and vulnerability of my/our account(s). If an unauthorised person gains access to my/our account(s), that person can access personal information stored or otherwise available on the trading platform, including the history of trades, profit and loss as well as account equity. In addition, the unauthorised person can also carry out unauthorised trades and transactions since having gained access to the account(s) and online trading platform(s), there is no second layer of verification or authentication before the trades or transactions are executed.

Notwithstanding my/our awareness of the risks of only SFA for my/our account(s), I/we acknowledge and agree to be responsible for all the risks associated with the use of SFA, including but not limited to those risks listed above, and confirm that I/we have elected to use only SFA to access and use my/our account(s).

I/We further undertake to indemnify and hold GTJAF fully indemnified from and against any loss, costs (including legal costs on a full indemnity basis), fees, charges, damages, claims, demands, actions, proceedings and all other liabilities of whatever nature and howsoever incurred or suffered by GTJAF or which may be brought or preferred against GTJAF as a result of GTJAF allowing the use of SFA based on the election made above.

I/We however agree that GTJAF may at any time, without my/our agreement, for the purpose of risk management, require me/us to use 2FA instead of SFA for my/our account(s), and immediately terminate the use of SFA by me/us.

*\* If not already provided to GTJAFS, this Form should be accompanied by a recent business profile issued by the Accounting and Corporate Regulatory Authority (or an equivalent Authority) which reflects the signatory's name in the list of directors, and a board resolution of the company naming the signatory as an authorised person for the purposes of opening an account with GTJAFS.*