

PERSONAL DATA PROTECTION STATEMENT

Guotai Junan Futures (Singapore) Pte. Ltd. (“**we**”, “**us**” or “**our**”) recognises its obligations under the Personal Data Protection Act 2012 (“**PDPA**”). This Statement describes the Personal Data that we may collect from you, the purposes for which we use the Personal Data, the parties with whom we may share the information with and how you can contact us.

“**Personal Data**” in this Statement means any data that can identify an individual.

PERSONAL DATA THAT WE COLLECT

The types of Personal Data that we may collect about individuals include but is not limited to the following:

1. personal particulars (e.g. name, contact details, residential address, date of birth, identity card/passport details, and/or education details);
2. specimen signature(s);
3. financial details (e.g. income, expenses, and/or credit history);
4. images and biometrics such as thumbprints, voice and video recordings of you, including our conversations with you for verification or other purposes;
5. employment details (e.g. occupation, directorships and other positions held, employment history, salary, and/or benefits);
6. tax and insurance information;
7. information about your risk profile, investments, investment objectives, knowledge and experience and/or business interests and assets;
8. banking information (e.g. account numbers and banking transactions);
9. your personal opinions made known to us e.g. through feedback or surveys;
10. information relating to your activities, habits, preferences and interests arising from your use of our products; and/or
11. other electronic data or information relating to you such as IP addresses, cookies, activity logs, online identifiers and location data through your usage of our products and services or as part of our delivery to you.

You may have provided the Personal Data to us personally or authorised other individuals to provide the Personal Data to us and consent (on your behalf) to our collection of your Personal Data from these individuals. These individuals could be your superiors, employees, subordinates, colleagues and your family members.

Where you give us Personal Data about other individuals, you confirm that you are authorised to disclose and consent, on their behalf, to the processing of such Personal Data for the purposes described in the section “PURPOSES FOR WHICH WE COLLECT AND USE PERSONAL DATA”, or other purposes for which your consent has been sought and obtained.

PURPOSES FOR WHICH WE COLLECT AND USE PERSONAL DATA

We collect and use the Personal Data that you provide to us for our business purposes, such as:

- i. To respond and process enquiries in connection with our products and services requested by you
- ii. To process and administer your registration for an event, conference, workshop
- iii. To verify your identity during the event registration
- iv. To contact you about your attendance at the event
- v. To feature your attendance at the event in our publicity materials including our website, brochure, email direct mailer, social media

In addition to the above purposes, we may also use personal data for purposes set out in the terms and conditions that govern our relationship with you.

DISCLOSURE OF PERSONAL DATA

We do not sell or rent any email or personal information we keep about you to any third parties and we shall remain fully compliant of any duty or obligation of confidentiality imposed on us under the applicable agreement(s) and/or terms and conditions that govern our relationship with you or any applicable law. We may share the information with our suppliers or service providers whom we engage to deliver services to us which involve processing data on our behalf, on the condition that they are able to provide adequate levels of protection and security as required under the applicable laws. We will disclose Personal Data where we are required to do so by law, in response to a request from law enforcement authorities or other government officials, by court order or direction from a government agency or regulatory authority ordering the disclosure of the Personal Data or where the disclosure is necessary for investigations or legal proceedings.

We may transfer, store, process and/or deal with your personal data outside Singapore. In doing so, we will comply with the PDPA and other applicable data protection and privacy laws

PHOTOGRAPHY AND FILMING NOTICE

During our events, photography, sound recording, videotaping and filming may be conducted for use in our publicity materials such as our website, brochures, email direct mailers and social media. If you are attending our events, we wish to bring to your attention that photos, sound recordings, videos and film recordings of you may be taken. If you do not wish for any photos, sound recordings, videos and film recordings where you appear to be used by us for our publicity materials, please notify us at the time of registration for the event. Otherwise, you will be deemed to have given your consent to the use of any photos, sound recordings, videos and film recordings where you appear to be used by us.

WITHDRAWING CONSENT TO THE COLLECTION, USE AND DISCLOSURE OF PERSONAL DATA

You may, at any time, withdraw your consent for us to collect, use or disclose your

Personal Data by giving us reasonable notice. Please state specifically the purpose(s) for which you wish to withdraw consent to the use of your Personal Data. Your withdrawal of consent for us to collect, use or disclose your Personal Data may affect our provision of products and services to you and does not affect any legal or practical consequences which arise as a result of your withdrawal of consent.

Upon successful withdrawal of consent, we will cease the use of Personal Data for the purpose(s) of which the consent has been withdrawn. We may retain the Personal Data for other purposes to which the consent has not been withdrawn and for our legal purposes for up to 7 years after the original purposes for which the Personal Data was collected have ceased to be applicable, unless otherwise required by law.

ACCESS AND CORRECTION RIGHTS

You can request for information on what Personal Data of yours we have and how it is being used and disclosed for the last 12 months before the date of your request. We may have to ask for information or supporting documentation to verify your identity to ensure the security of Personal Data. We may also charge you a reasonable administration fee. You also have the right to request correction of your Personal Data.

You may make your request to withdraw your consent, access or correct your Personal Data, or raise any relevant feedback, by writing to:

Compliance

Guotai Junan Futures (Singapore) Pte. Ltd.

50 Raffles Place #40-02/03 Singapore Land Tower

Singapore 048623

Alternatively, you can email to compliance@gtjaf.com.sg

Please allow up to 30 days for us to investigate and respond substantively to your request.

SAFEGUARDING OF INFORMATION

All information submitted to us from you are stored in our database which we protect with appropriate security measures and held in the strictest confidence.